

MONTANA MORTGAGE BROKER, LENDER, or MORTGAGE LOAN  
ORIGINATOR EXEMPTION CLAIM FORM

I hereby certify that I believe the undersigned entity or individual meets the requirements for an exemption under Montana law.

\_\_\_\_\_  
Individual or entity claiming exemption

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State and Zip Code

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Authorized representative of the entity

\_\_\_\_\_  
Describe your position with the entity

Exemption claimed:

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Entity is an agency of federal, state, or municipal government. § 32-9-104(1)(a), MCA.

☐

An individual who offers or negotiates terms of a residential mortgage loan with or on behalf of an immediate family member of that individual. § 32-9-104(1)(d), MCA.

☐

A person who offers, negotiates, or provides financing in conjunction with the sale of real property owned by that person and that is secured by a contract for deed, mortgage, deed of trust, or other equivalent security interest on the real property sold. §32-9-104(1)(e), MCA.

☐

An entity that makes loans to an employee of the entity if the proceeds of the loan are used to assist the employee in meeting the employee's housing needs. §32-9-104(1)(f), MCA.

☐

An entity qualified as a pension plan under 26 U.S.C. 401 if the plan makes residential mortgages only to the plan's participants. §32-9-104(1)(h), MCA.

☐

The federal national mortgage association, the federal home loan mortgage corporation, and the government national mortgage association. §32-9-104(1)(i), MCA.

☐

A 501(c)(3) corporation, which is not otherwise engaged in or holding itself out to the public as being engaged in the mortgage loan business, that makes mortgage loans to promote home ownership or improvements for bona fide low-income individuals. §32-9-104(1)(j), MCA.

☐

A person that performs only real estate brokerage activities and is licensed or registered pursuant to 37-51-301 unless the person is compensated by a mortgage lender, a mortgage broker, or a mortgage loan originator or an agent of the mortgage lender, mortgage broker, or mortgage loan originator. §32-9-104(1)(k), MCA.

Please state the factual basis for your claim of exemption. If necessary, please attach additional documentation or information to support your claim of exemption. If the factual basis for the claim is incomplete or additional information is needed by the Division to make a decision, the Division will request additional information.

Individuals and entities granted exemptions will be posted on a spreadsheet on the Division's website.